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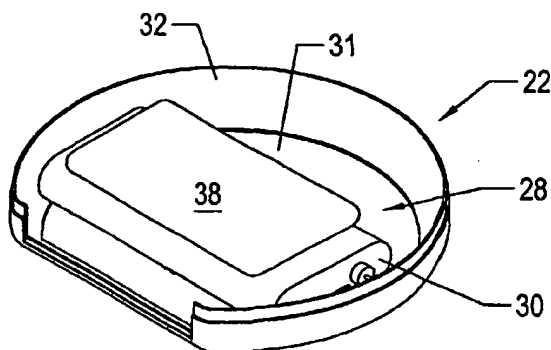
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(54) Title: METHOD AND APPARATUS FOR AMPLITUDE LIMITING BATTERY TEMPERATURE SPIKES



(57) Abstract: A method and apparatus for containing heat generated by a battery to reduce the amplitude of a temperature excursion to enhance safety in temperature critical applications, such as in implantable medical devices. The apparatus employs a heat absorber (38) closely thermally coupled to the battery (30). The heat absorber includes heat-absorbing material preferably exhibiting an endothermic phase change at a temperature below that produced by the battery.

WO 03/061032 A2

**TITLE: METHOD AND APPARATUS FOR AMPLITUDE LIMITING BATTERY  
TEMPERATURE SPIKES**

**TECHNICAL FIELD**

5 This invention relates generally to energy storage devices, e.g., rechargeable batteries, and especially to such devices suitable for medical implantation in a patient's body. More particularly, this invention relates to a method and apparatus for limiting the amplitude of temperature spikes produced by such energy storage devices as a result of a malfunction such as an electric short.

10 **BACKGROUND**

Over the past several years, various medical devices have been developed which are designed to be implanted in a patient's body. Such devices are useful, for example, for stimulating internal body tissue (e.g., muscle, nerve, etc.) for a wide variety of medical applications. Many of these devices include a battery which can be recharged from an external  
15 power source via either a hard wire connection or wirelessly, e.g., via an alternating magnetic field. Although various battery technologies have been used, the lithium ion battery has evolved to generally be the battery of choice for implantable medical devices.

Under certain malfunction conditions, such as an internal or external electric short, a rechargeable lithium ion battery can produce a temperature excursion of 120°C or more. A  
20 temperature of this amplitude can cause significant damage to body tissue.

**SUMMARY**

The present invention is directed to a method and apparatus for containing heat generated by an energy storage device (hereinafter generically referred to as a "battery") to reduce the  
25 amplitude of a temperature excursion in order to enhance safety in temperature critical applications, such as in implantable medical devices.

In accordance with the invention, a heat absorber is closely thermally coupled to the battery. The heat absorber is comprised of high heat capacity heat absorbing (HA) material which allows the rapid transference of considerable heat energy from the battery to the absorber,  
30 thereby reducing the temperature excursion and the risk of body tissue damage.

In accordance with a preferred embodiment, the HA material is selected to exhibit an endothermic phase change at a temperature T1 within a range of about 50°C to 80°C. Temperatures in excess of this range can be readily produced by an electrically shorted battery.

A preferred HA material in accordance with the invention includes paraffin and is selected to have a melting point of about 75°C.

In accordance with an exemplary application, the battery is comprised of a case formed of a conductive biocompatible material such as titanium or stainless steel. The case is mounted in a medical device housing also formed of a conductive biocompatible material such as titanium or stainless steel. The case is preferably mounted such that its wall surface is spaced from the housing wall surface to minimize heat transference therebetween. A heat absorber in accordance with the present invention is preferably mounted between the case wall and housing wall to absorb heat energy and reduce the rate of heat transference to the housing wall.

The heat absorber preferably comprises a mass of meltable material, e.g., paraffin, deposited into a fibrous containment mat preferably formed of dielectric fibers, e.g., Kevlar or fiberglass. The heat absorber can be fabricated by depositing melted HA material onto the mat and then allowing it to solidify, e.g., at room temperature. The heat absorber can then be attached to the battery case prior to mounting the case in the medical device housing.

A heat absorber in accordance with the invention can be provided in multiple configurations. As already mentioned, the heat absorber can be physically configured to engage the case wall outer surface of a standard battery. Alternatively, a battery can be especially configured to include the HA material within the battery case. For example, the HA material can be formed as one or more strips (or plates) such that they can be integrated in a stack of positive electrode, negative electrode, and separator strips (or plates) forming an electrode assembly roll (or stack). Alternatively and/or additionally, the HA material can be configured to extend around the electrode assembly within the battery case.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is an isometric view of an exemplary implantable medical device;

Figure 2 is an isometric view of the medical device of Figure 1 with the cover removed to reveal a battery therein carrying a heat absorber in accordance with the present invention;

Figure 3 is a plan view of the device of Figure 2 showing the heat absorber mounted on the battery case;

Figure 4 is an enlarged view taken substantially along the plane 4-4 of Figure 3 showing a preferred heat absorber directly attached to the battery case where the heat absorber comprises a quantity of heat absorbing material surrounding an embedded fibrous containment mat;

Figure 5 is an isometric view depicting an alternative manner of thermally coupling the heat absorber to the battery case comprising a caddy configured to carry the absorber and having clips for attaching it to the battery case;

Figure 6 is a chart depicting respective exemplary temperature excursions produced by a shorted battery (1) without a heat absorber and (2) with a heat absorber in accordance with the present invention;

Figure 7 is a schematic cross section of a typical battery showing a wound, or jellyroll, electrode assembly within the battery case;

Figure 8 is a cross section taken substantially along the plane 8-8 of Figure 7, showing multiple layers of the electrode assembly;

Figure 9 is a schematic isometric view showing a wound electrode assembly incorporating layers of heat absorbing (HA) material in accordance with the present invention;

Figure 10 is a cross section taken through the electrode assembly of Figure 9 showing HA material layers incorporated in the electrode assembly;

Figure 11 is an elevation view of an electrode substrate in accordance with an alternative embodiment of the invention showing HA material deposited into recesses or pockets of the substrate;

Figure 12 is a schematic cross section, similar to Figure 7, showing how HA material can be utilized within the interior volume of the battery case;

Figure 13 is a schematic cross section, similar to Figure 12, showing how HA material can alternatively, or additionally, be deployed around the case exterior wall surface; and

Figure 14 is a schematic cross section depicting multiple batteries accommodated in a large casing.

#### DETAILED DESCRIPTION

Attention is initially directed to Figure 1, which illustrates an exemplary implantable medical device 20. The device 20 is comprised of a device housing 22 including a lower mounting member 24 and an upper cover member 26. The housing members 24 and 26 mate together to enclose an interior volume for accommodating electronic circuitry and a power source, e.g., an energy storage device such as a rechargeable lithium ion battery. For simplicity, the term "battery" will generally be used hereinafter to generically refer to any type of energy storage device.

Figures 2 and 3 illustrate the device housing 22 with the cover member 26 removed to show the interior volume 28 for accommodating a battery 30 for driving electronic circuitry (not

shown) also accommodated in the interior volume 28. Mounting member 24 is formed by a wall of suitable biocompatible material, e.g., titanium or stainless steel, shaped to essentially form a cup comprised of a floor portion 31 and a peripheral wall portion 32. The mounting member 24 forms a partial boundary of interior volume 28. The remainder of the boundary is defined by the lid portion 33 and peripheral wall portion 34 of the cover member 26 which is typically formed of the same biocompatible material. The respective peripheral wall portions 32 and 34 are configured to mate to enable the device housing 22 to be hermetically sealed.

It is well known that under certain malfunction conditions, e.g., an electric short circuit, a rechargeable battery can produce a very high temperature excursion up to 120°C or more (see Figure 6). Temperature excursions of this amplitude can cause significant damage in temperature critical applications such as implanted medical devices. The present invention is primarily directed to providing a method and apparatus for limiting the amplitude of temperature spikes produced by battery 30 to avoid temperature induced damage. In accordance with the invention, temperature excursions are limited by utilizing a heat absorber thermally coupled to the battery 30 to extract heat therefrom.

The battery 30 is comprised of a case 36 formed by a case wall defining an interior volume for accommodating an electrode assembly and electrolyte. The case wall is typically formed of a substantially rigid metal material such as titanium or stainless steel but can be formed of other materials, e.g., a flexible polymer. In the embodiment depicted in Figures 2 and 3, a heat absorber 38 in accordance with the invention is directly mounted on the exterior surface 39 of case 36 for absorbing heat energy generated by the battery 30.

The heat absorber 38 in accordance with the present invention is preferably comprised of a high heat capacity heat absorbing (HA) material 40 which allows the rapid transference of heat energy from the battery to the absorber. In accordance with a preferred embodiment, the HA material is selected to exhibit an endothermic phase change, i.e., melt, at a temperature T1 below the temperature which is produced by an electrically shorted battery. As an example, an electrically shorted battery can exhibit a temperature excursion of 120°C or more and the HA material is preferably selected to exhibit a phase change at a temperature T1 within a range of about 50°C to 80°C.

A preferred HA material 40 in accordance with the invention includes paraffin and is selected to have a melting point of about 75°C. A preferred heat absorber 38 in accordance with the invention is comprised of a mat 42 formed of fibrous material, preferably having dielectric properties such as Kevlar or fiberglass. The fibrous mat 42 is utilized to contain and provide a

form to the HA material mass 40. More particularly, the preferred heat absorber 38 is formed by depositing melted HA material 40 onto the fibrous mat 42 so that the material surrounds and embeds the mat. The HA material is then allowed to cure so that the absorber 38 comprised of mat 42 and HA material mass 40 forms a solid having an upper surface 43 and lower surface 44.

5 The lower surface 44 can be adhered directly to the battery case surface 39 as shown in Figure 4.

As an alternative to directly mounting the heat absorber 38 to the battery case surface 46, Figure 5 depicts a caddy 50 configured to (1) carry the absorber 38 and (2) clip to the battery case 36. More particularly, the caddy 50 includes a frame 52 enclosing an open area 54 for accommodating the heat absorber 38. The frame 52 has depending resilient clips 56 secured  
10 thereto. The clips 56 are dimensioned and shaped to clip around the battery case 36 to mount the heat absorber 38 immediately adjacent to the battery case surface 39.

Note that regardless of whether heat absorber 38 is secured directly to the battery case or carried by caddy 50, it is preferable to space its upper surface 43 from the housing cover member 26 (Figure 4) to reduce heat conduction to the housing 22. Similarly, the battery case 36 should  
15 preferably be spaced from the floor portion 31 of the housing mounting member 24, e.g., by dielectric spacers 60, to reduce heat conduction to the housing 22.

Figure 6 depicts a typical temperature excursion 70 which can be produced by a battery in response to an electric short, either internal or external, in the absence of a heat absorber in accordance with the present invention. Plots 72 and 74 depict reduced temperature excursions  
20 attributable to the use of different amounts of HA material in accordance with the invention closely thermally coupled to the battery case 36.

The amount of heat energy Q which can be absorbed by the heat absorber 38 is of course dependent on the quantity and characteristics of the HA material used. This relationship can be expressed as:

25 
$$Q = \int_{T_i}^{T_f} C_p \cdot dT + \Delta H_f$$

where

Q represents heat energy absorbed

$T_i$  represents initial temperature

30  $T_f$  represents final temperature

$C_p$  represents the heat capacity of the HA material mass

$\Delta H_f$  represents the heat of fusion

The following table depicts properties of a preferred HA material, paraffin, as compared to other materials typically used in a battery. Also shown are properties of alternative, but less effective HA materials polypropylene and polyethylene. Still other alternative HA materials can be used; e.g., Aerogel ( $\text{SiO}_2$ ).

				0-100°C
	<b>Heat Capacity</b> (J/g-K)	<b>Latent Heat of Fusion</b> (kJ/kg)	<b>Melting Temp</b> (°C)	<b>Q</b> (J/g)
Copper	0.385		1083	39
Aluminum	0.9		658	90
Negative Active Material	1.184		120	118
Separator	2.066			207
Positive Active Material	1.134			113
Paraffin	3.26	147	58	473
Polypropylene	1.83	88	160	183
Polyethylene	1.78	276	142	178

5

Attention is now directed to Figures 7 and 8, which depict an exemplary battery 30 having a case 36 containing an electrode assembly 76. The electrode assembly 76 comprises multiple layers of positive electrode sheets 77, negative electrode sheets 78, and separator sheets 79. These sheets can be stacked or wound into what is sometimes referred to as a "jellyroll".

10 Figure 8 depicts a cross-section through the electrode assembly 76 of Figure 7 showing exemplary stacked layers which are arranged pos/sep/neg/sep/pos/sep/neg.... That is, adjacent positive and negative electrode layers are separated by a separator layer. A suitable electrolyte (not shown) is contained within the battery case 36 around the electrode assembly 76.

15 Figures 9 and 10 depict an arrangement for incorporating heat absorber material within the battery case of Figure 7. That is, Figure 9 shows multiple strips of an electrode assembly 80 including a positive electrode strip 82, a separator strip 84, a negative electrode strip 86, and a separator strip 88. In accordance with the invention as depicted in Figure 10, layers of heat

absorbing (HA) material 90 are additionally incorporated in the stacked layers, e.g., by attachment to both faces of the separator strips. Thus, as depicted in the cross-section of Figure 10, the layers of the electrode assembly 80 comprise in sequence pos/HA/sep/HA/neg/HA/sep/HA/pos/....

5       The layers 90 of HA material can be formed in various ways. Thus, they can comprise sheets of paraffin-based material (solid at body temperature of about 38°C and which melts at a temperature T1 within the aforementioned range of 50°C to 80°C) formed on a fibrous mat and adhered to the separator sheet faces. Alternatively as depicted in Figure 11, the HA material 90 can constitute a separator strip provided with a matrix of pockets 92 into which HA material 93 is  
10       deposited.

Attention is now directed to Figure 12, which illustrates a still further alternative for incorporating HA material into a battery case 94. In this embodiment, heat absorber material 96 is mounted in the battery case 94, at time of manufacture, around the electrode assembly 98. The heat absorber material 96 is preferably configured around a mat in the manner discussed in  
15       connection with Figure 4. That is, heat absorber material is deposited into a fibrous mat (not shown) so as to embed the mat. The resulting structure of HA material and fibrous mat forms a solid which can be easily mounted in the battery case 94 closely thermally coupled to the electrode assembly 98.

Figure 13 illustrates a still further arrangement for using HA material 100 to limit  
20       temperature excursions of battery 102. In the embodiment of Figure 13, the heat absorber material 100 is mounted around the exterior surface of case 104 which contains the electrode assembly 106. The heat absorber material 100 is preferably formed as previously described by depositing melted HA material onto a fibrous mat which contains and provides structure for the HA material. An outer casing 108 formed, for example, of flexible polymer material can be  
25       provided to better contain the HA material 100.

Figure 14 depicts a configuration 110 in which an outer casing 112 contains HA material 114 surrounding a plurality of batteries 116A, 116B, 116C. In the configuration 110, an electric short across one of the batteries will produce a temperature spike which can be contained by the HA material 114, thereby preventing damage to the other batteries as well as surrounding  
30       body tissue.

The embodiments depicted in Figures 9-14 all utilize a heat absorber in combination with one or more batteries to limit temperature excursions as depicted in Figure 6. That is, the heat



absorber material functions to limit the amplitude of temperature spikes and as a consequence reduces the risk of temperature-induced damage.

From the foregoing it should be appreciated that various configurations have been described for utilizing heat absorber material to limit the amplitude of battery produced  
5 temperature excursions. Although multiple geometries have been depicted, it is recognized that various alternative and substantially equivalent arrangements will occur to those skilled in the art which fall within the spirit of the invention and the intended scope of the appended claims.

## CLAIMS

What is claimed is:

1. An implantable medical device comprising:
  - 5 a housing comprising a housing wall of biocompatible material defining an interior volume;
  - a battery comprising a case having a case wall, said battery mounted within said interior volume; and
  - 10 heat absorbing means for reducing the amplitude of a temperature excursion of the implantable medical device to prevent significant damage to body tissue.
2. The device of claim 1 and further including dielectric spacers separating said case wall from said housing wall for reducing heat transfer to said housing.
- 15 3. The device of claim 1 wherein said heat absorbing means comprises:
  - paraffin; and
  - means for containing said paraffin.
4. The device of claim 3 wherein:
  - 20 the containing means comprises an outer casing;
  - said battery is mounted within said outer casing; and
  - said paraffin is contained between the battery case wall and said outer casing.
5. The device of claim 4 wherein said outer casing is formed of a polymer.
- 25 6. The device of claim 3 wherein the containing means comprises a fibrous mat.
7. The device of claim 1 wherein said heat absorbing means comprises a heat absorber interposed between layers of electrodes and separators.
- 30 8. The device of claim 7 wherein the electrodes and separators are configured to form an electrode assembly roll.

9. The device of claim 7 wherein the electrodes and separators are configured to form an electrode assembly stack.
10. The device of claim 1 wherein said heat absorbing means comprises a heat absorber  
5 extending around electrodes and separators within the battery case.
11. The device of claim 1 and wherein said heat absorbing means comprises:  
a heat absorber;  
a caddy configured to carry said heat absorber, and  
10 clips for attaching said caddy to the battery case.
12. The device of claim 1 wherein said electrodes comprise active material coated on a substrate, and wherein said heat absorbing means comprises a heat absorber deposited into recesses or pockets of said substrate.  
15
13. The device of claim 1 and wherein said battery comprises an electrode assembly having positive and negative electrodes separated by a separator, wherein said separator has pockets into which a heat absorber is deposited to form said heat absorbing means.
- 20 14. The device of claim 1 wherein said housing comprises a material chosen from the group consisting of titanium and stainless steel, and wherein the battery case comprises a material chosen from the group consisting of titanium, stainless steel, and a flexible polymer.
15. A device comprising:  
25 a housing comprising a wall defining an interior housing volume;  
a battery comprising a case having a wall enclosing an interior case volume, said battery capable of producing a temperature greater than a temperature T1;  
said battery mounted in said housing with said case wall spaced from said housing wall;  
and  
30 a heat absorber mounted adjacent to and closely thermally coupled to said case wall, said heat absorber comprising a high heat capacity material exhibiting a phase change at said temperature T1.

16. The device of claim 15 wherein said heat absorber further comprises a fibrous containment mat embedded in said high heat capacity material.

17. The device of claim 16 wherein said fibrous containment mat is formed of dielectric  
5 fibers.

18. The device of claim 16 wherein said fibrous containment mat comprises Kevlar.

19. The device of claim 16 wherein said fibrous containment mat comprises fiberglass.  
10

20. The device of claim 15 wherein said high heat capacity material includes paraffin.

21. The device of claim 15 wherein said energy storage device includes a case having a wall  
outer surface, and wherein said high heat capacity material contacts said case wall outer surface.  
15

22. The device of claim 15 wherein said temperature T1 is within the range of 50°C to 80°C.

23. In a storage device comprising a case enclosing an interior volume and including an  
electrode assembly comprising materials forming positive and negative electrode layers separated  
20 by a separator in said interior volume, the improvement comprising:

a heat absorbing layer interposed between the electrode and separator layers, said heat  
absorbing layer having a high heat capacity relative to the other materials in said interior volume,  
said heat absorbing layer comprising at least one material that exhibits a phase change at a  
temperature lower than that of the electrode and separator layers.

25

24. The storage device of claim 23 wherein the at least one material that exhibits a phase  
change comprises paraffin.

30

25. A lithium ion battery including:  
a case comprising a wall enclosing an interior volume;  
an electrode assembly in said interior volume including materials comprising an electrolyte, a positive electrode, a negative electrode, and a separator separating said positive and  
5 negative electrodes; and  
a heat absorber for limiting temperature increases in the battery, wherein said heat absorber is:  
characterized by a heat capacity at least as great as the materials of said electrode assembly;  
10 mounted in said interior volume; and  
inert with respect to the materials of said electrode assembly.
26. The battery of claim 25 wherein said heat absorber is mounted in said interior volume between said electrode assembly and an interior surface of said wall.
- 15 27. The battery of claim 25 wherein said heat absorber is incorporated in said electrode assembly.
28. The battery of claim 25 wherein said heat absorber comprises a material that exhibits a  
20 phase change at a temperature lower than that of the materials of the electrodes and separator.
29. The battery of claim 25 wherein said heat absorber comprises a mat of dielectric material embedded in paraffin.
- 25 30. The battery of claim 29 wherein said dielectric material is fiberglass.
31. The battery of claim 29 wherein said dielectric material is Kevlar.
- 30

32. An apparatus comprising:  
an energy storage device capable of producing a temperature greater than a temperature  
T1; and  
a heat absorber closely thermally coupled to said storage device, said heat absorber  
5 comprising:  
a quantity of high heat capacity material exhibiting a phase change at said  
temperature T1; and  
a fibrous containment mat embedded in the high heat capacity material.
- 10 33. The apparatus of claim 32 wherein said fibrous containment mat is formed of dielectric  
fibers.
34. The apparatus of claim 32 wherein said fibrous containment mat comprises Kevlar.
- 15 35. The apparatus of claim 32 wherein said fibrous containment mat comprises fiberglass.
36. The apparatus of claim 32 wherein said high heat capacity material includes paraffin.
37. The apparatus of claim 32 wherein said energy storage device includes a case having a  
20 wall outer surface, and wherein said high heat capacity material contacts said wall outer surface.
38. The apparatus of claim 32 wherein said temperature T1 is within the range of 50°C to  
80°C.

25

30

39. A method of limiting temperature excursions of a battery having an electrode assembly within a battery case, said method comprising:
- providing a mat of fibrous material;
  - depositing a quantity of a melted high heat capacity material into said mat;
  - 5 allowing said high heat capacity material to solidify to form a mass including said fibrous material embedded therein; and
  - thermally coupling said mass to said battery case.
40. The method of claim 39 wherein said providing step comprises providing a mat of  
10 dielectric material.
41. The method of claim 39 wherein said providing step comprises providing a mat of fiberglass.
- 15 42. The method of claim 39 wherein said providing step comprises providing a mat of Kevlar.
43. The method of claim 39 wherein said depositing step comprises depositing a quantity of melted high heat capacity material having a melt point within a range of about 50°C to 80°C.
- 20 44. The method of claim 39 wherein said depositing step comprises depositing paraffin.
45. The method of claim 39 wherein said depositing step comprises depositing polyethylene.
46. The method of claim 39 wherein said depositing step comprises depositing  
25 polypropylene.
47. The method of claim 39 wherein said thermally coupling step comprises mounting said mass to an outer wall of said battery case.
- 30 48. The method of claim 39 wherein said thermally coupling step comprises integrating said mass into said electrode assembly.
49. The method of claim 39 wherein said thermally coupling step comprises including said mass within said battery case, wherein said mass extends around said electrode assembly.
- 35

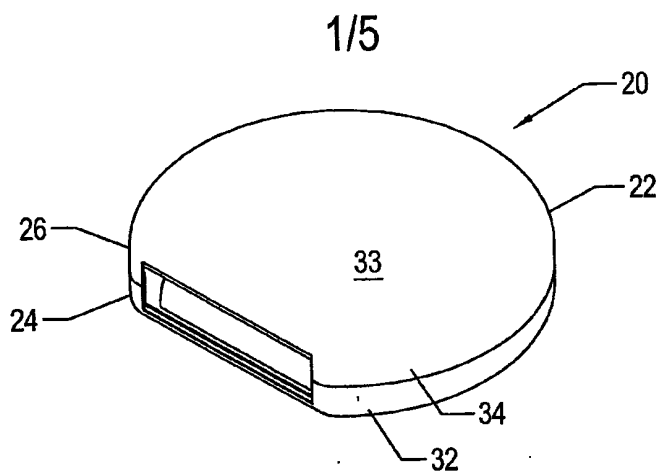


FIG. 1

FIGURE 1

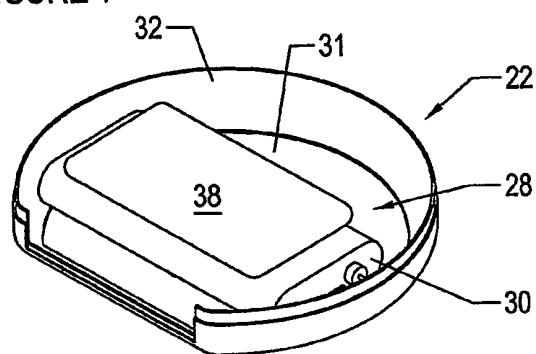


FIG. 2

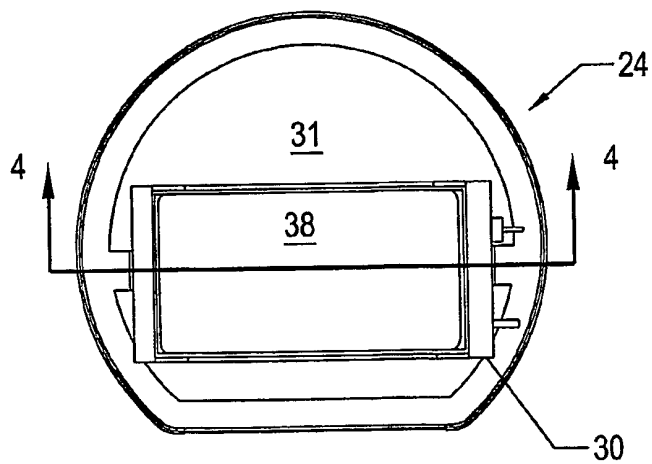


FIG. 3



2/5

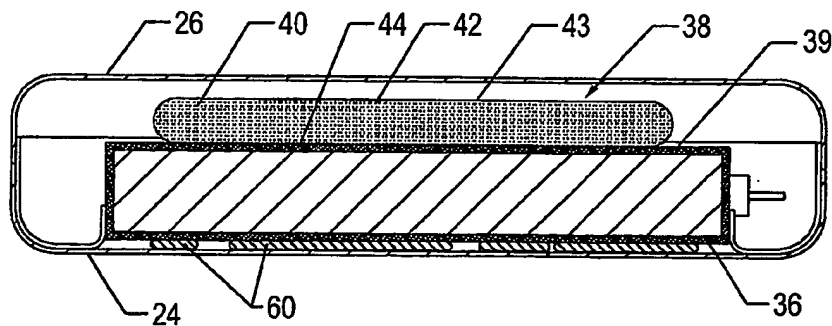


FIG. 4

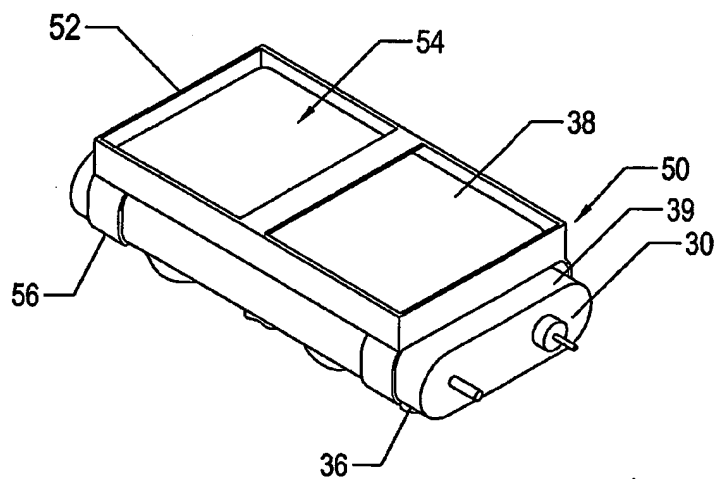


FIG. 5

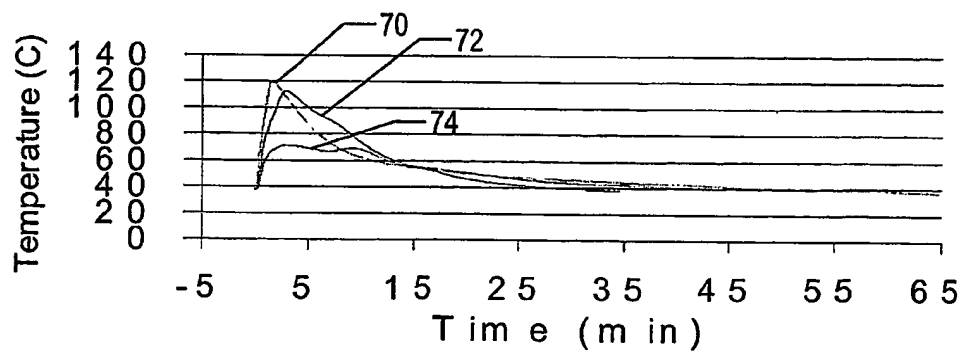


FIG. 6

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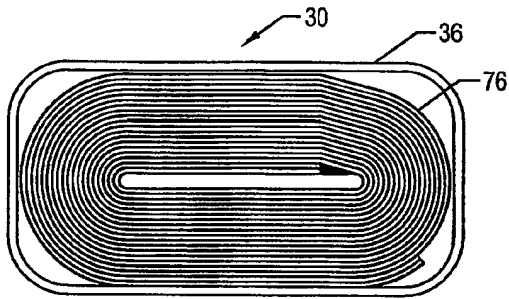


FIG. 7  
(PRIOR ART)

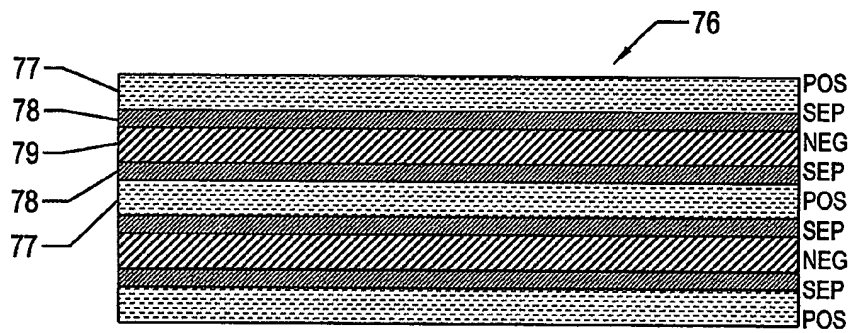


FIG. 8  
(PRIOR ART)

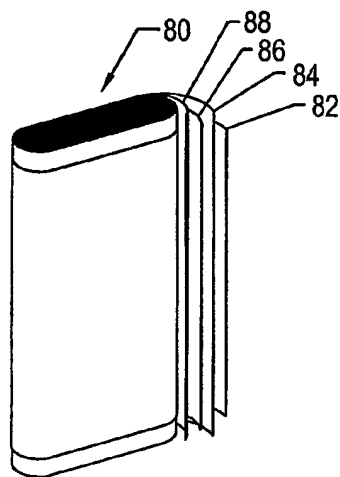


FIG. 9

4/5

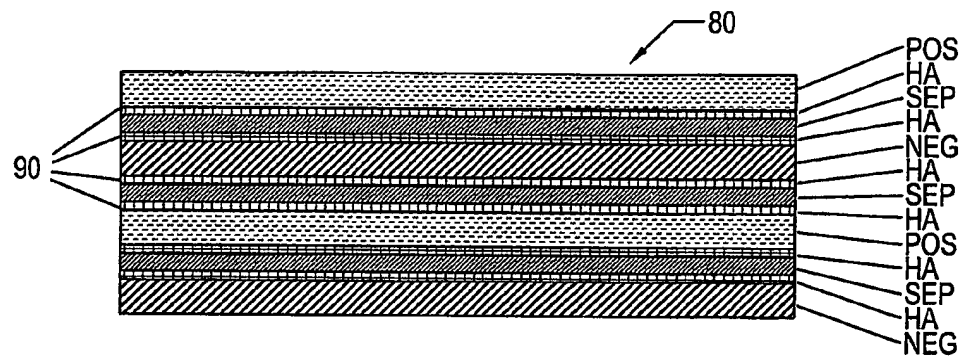


FIG. 10

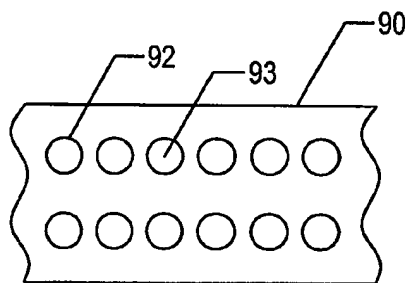


FIG. 11

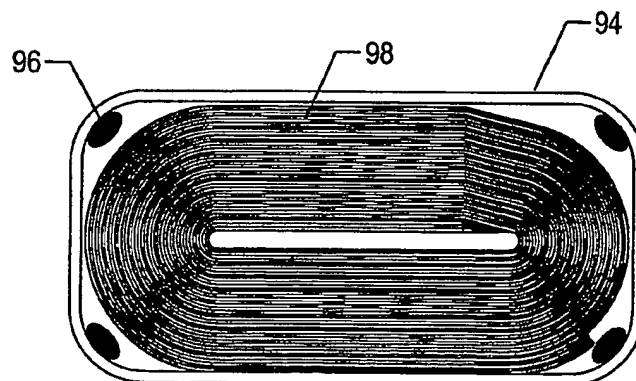


FIG. 12

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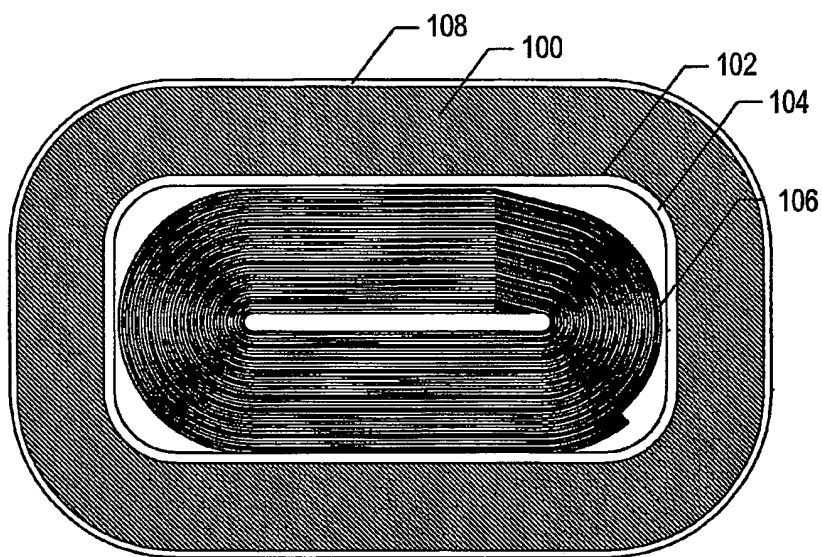


FIG. 13

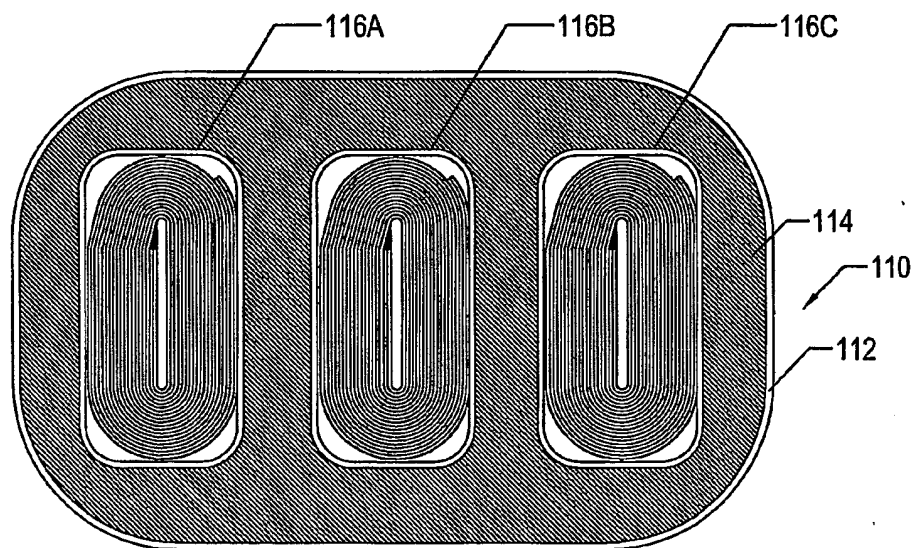


FIG. 14